

Docket No. 4347-4000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Applicant(s): Rohini Ramesh Joshi et al.

Group Art Unit: 1633

Serial No.: 10/033,197

Examiner: TBA

Filed: December 27, 2001

For: PROCESS FOR THE PREPARATION OF OPTICALLY ACTIVE  
AZABICYCLO HEPTANONE DERIVATIVES

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4347-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: October 23, 2002

By: *Maria C.H. Lin*

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I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement;
2. Form PTO-1449;
3. 10 Cited references;
4. Return Postcards.

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Susan Shen

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(Signature of person mailing paper(s) and/or fee)

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